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APPLICATION NUMBER

10/810,061

FILING OR 371 (c) DATE

03/25/2004

FIRST NAMED APPLICANT

Pierre L. Laurent

ATTORNEY DOCKET NUMBER

FFRT-P003

32986

IPSG, P.C.

P.O. BOX 700640

SAN JOSE, CA 95170-0640

CONFIRMATION NO. 7853

FORMALITIES LETTER



OC000000012885475

Date Mailed: 06/07/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/12/2004 MAHMED1 00000036 10810061

FILED UNDER 37 CFR 1.53(b)

01 FC:2001 385.00 OP
 02 FC:2202 9.00 OP
 03 FC:2051 65.00 OP

Filing Date Granted**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 1D.
 - The drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1)); See Figure(s) 1A & 3.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$9 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$459** for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$9
 - \$9 for 1 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



CUSTOMER NO. 3,986
IP STRATEGY GROUP P.C.
Intellectual Property Law Office
P.O. Box 700640
San Jose, CA 95170-0640

PATENT APPLICATION
ATTORNEY DOCKET NO. FFRT-P003

**IN THE
UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: LAURENT et al.

Application No. 10/810,061

Examiner: UNASSIGNED

Filed: March 25, 2004

Group No. 3624

Title: COMPUTER-IMPLEMENTED
TRADING IN FREIGHT DERIVATIVES
AND TECHNIQUES THEREFOR

Confirmation No. 7853

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22312-1450, on August 6, 2004.

Signed: 

Sandra Halliwell

RESPONSE TO NOTICE TO FILE MISSING PARTS

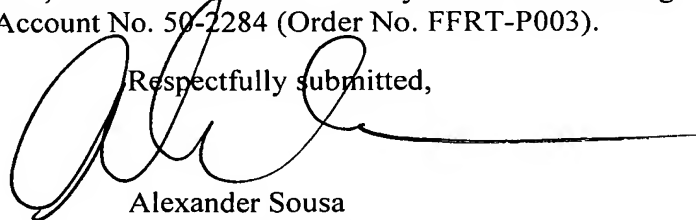
Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application, mailed June 7, 2004, enclosed herewith are the following items:

1. Combined Declaration and Power of Attorney by inventors;
2. Twenty-six (26) sheets of replacement drawings in compliance with 37 CFR 1.84 and 1.121;
3. Check No. 6172 for \$459 in payment of the basic filing fee for a small entity, extra total claims, and surcharge.

Applicants believe that no further fees are due to process this Response. However, if it is determined otherwise, the Commissioner is hereby authorized to charge any additional fees to our Deposit Account No. 50-2284 (Order No. FFRT-P003).

Respectfully submitted,



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